



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:) Examiner:
George B. Brunt, et al.) Group Art Unit: 2131
Serial No.: 09/783,161) Atty. Docket No.: 10.080.001 (CDUS.0002)
Filed: February 14, 2001) Date: December 19, 2001
For: SECURE WEB-BASED DOCUMENT)
CONTROL PROCESS AND SYSTEM)

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FEB 07 2002

Technology Center 2100

Commissioner for Patents and Trademarks
Washington, D.C. 20231

Sir:

PETITION UNDER 37CFR 1.48(a)(1) FOR CORRECTION OF INVENTORSHIP

Applicant respectfully petitions according to Title 37 CFR, section 1.48, paragraph (a), item (1) to correct inventorship on application number 09/783,161 to show John J. Kendrick, Jr., and Robert N. Higbee as inventors and to remove George B. Brunt as an inventor, as I recently learned he was not.

Attached is the statement from Mr. Brunt as required under this rule, and the consent of the Assignee.

Respectfully submitted,


By: Timothy F. Loomis
Reg. No. 37,383

Law Offices of Timothy F. Loomis
2932 Hagen Drive
Plano, TX 75025
972-747-0706; 972-747-9996 Fax

02/04/2002 NM0HMM1 00000110 09783161

01 FC:122

130.00 OP



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

George B. Brunt, et al.

Serial No.: 09/783,161

Filed: February 14, 2001

For: SECURE WEB-BASED DOCUMENT
CONTROL PROCESS AND SYSTEM

) Examiner:

) Group Art Unit: 2131

) Atty. Docket No.: (CDUS.0002)
10.080.001

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Technology Center 2100

Commissioner for Patents and Trademarks
Washington, D.C. 20231

Sir:

STATEMENT FROM PERSON BEING DELETED AS AN INVENTOR

Please remove me as inventor on application number 09/783,161, as I was not an inventor. The error in inventorship occurred without any deceptive intention on my part.

Respectfully submitted,



George B. Brunt

Date: 12-10-2001



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

George B. Brunt, et al.

Serial No.: 09/783,161

Filed: February 14, 2001

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CONTROL PROCESS AND SYSTEM

) Examiner:

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) Atty. Docket No.: (CDUS.0002)
10.080.001

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Technology Center 2100

Commissioner for Patents and Trademarks
Washington, D.C. 20231

Sir:

CONSENT OF ASSIGNEE TO CORRECT INVENTORSHIP

Assignee hereby provides permission to correct inventorship on application number 09/783,161 to show John J. Kendrick, Jr., and Robert N. Higbee as inventors and to remove George B. Brunt as inventor.

Respectfully submitted,


Robert N. Higbee
CEO
ControlDocs Operating Corp.

Date: Dec. 11, 2001



Please type a plus sign (+) inside this box →

PTO/SB/01 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

Declaration Submitted with Initial Filing OR Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16(e)))

Attorney Docket Number	10.080.001 (CDUS.0002)
First Named Inventor	John J. Kendrick, Jr.
COMPLETE IF KNOWN	
Application Number	09 / 783,161
Filing Date	2/14/2001
Group Art Unit	2131
Examiner Name	

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FEB 07 2002

Technology Center 2100

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Secure Web-Based Document Control Process and System

the specification of which *(Title of the Invention)*

is attached hereto
OR
 was filed on (MM/DD/YYYY) as United States Application Number or PCT International

Application Number and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application	Foreign Filing Date	Priority	Certified Copy Attached? YES NO
		<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

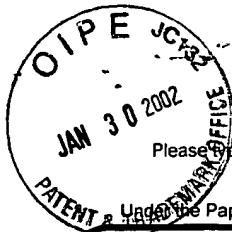
Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
60/182,536	02/15/2000	

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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PTO/SB/01 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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DECLARATION — Utility or Design Patent Application

Direct all correspondence to:		<input type="checkbox"/> Customer Number or Bar Code Label	<input type="text"/>	OR <input checked="" type="checkbox"/> Correspondence address below
<p>Name Timothy F. Loomis RECEIVED Address Law Offices of Timothy F. Loomis FEB 07 2002 Address 2932 Hagen Drive Technology Center 2100 City Plano State TX ZIP 75025 Country USA Telephone (972) 747-0706 Fax (972) 747-9996</p>				
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>				
NAME OF SOLE OR FIRST INVENTOR :		<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle [if any]) John J.		Family Name or Surname	Kendrick, Jr.	
Inventor's Signature				Date 12-17-01
Residence: City Dallas	State TX	Country USA	Citizenship US	
Mailing Address 5311 Byron Avenue				
Mailing Address				
City Dallas	State TX	ZIP 75205	Country USA	
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle [if any]) Robert N.		Family Name or Surname	Higbee	
Inventor's Signature				Date 12-11-01
Residence: City Plano	State TX	Country USA	Citizenship US	
Mailing Address 3805 Ellington Drive				
Mailing Address				
City Plano	State TX	ZIP 75093	Country USA	
<input type="checkbox"/> Additional inventors are being named on _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.				



Please type a plus sign (+) inside this box →

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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GP2131

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

7

Application

09/783,161

Filing Date

February 14, 2001

RECEIVED

First Named

George B. Brunt

FEB 07 2002

Group Art Unit

2131

Technology Center 2100

Examiner Name

10.080.001 (CDUS.0002)

ENCLOSURES (check all that apply)

- Fee Transmittal Form
- Fee Attached
- Amendment / Response
 - After Final
 - Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/ Incomplete Application
- Response to Missing Parts under 37 CFR 1.52 or 1.53

- Assignment Papers (for an Application)
- Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert a Provisional Application
- Power of Attorney, Revocation Change of Correspondence
- Terminal Disclaimer
- Request for Refund
- CD, Number of CD(s) _____

Remarks

- After Allowance Communication to Group
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Other Enclosure(s) (please identify below):

Statement from person being deleted as inventor;
Declaration;
Consent of the Assignee to correct inventorship;
Postcard

Petition Under 37 CFR 1.48(a)(1) to Correct Inventorship in a Patent Application

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Timothy F. Loomis

Signature

Date

December 19, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date:

12/19/01

Typed or printed name

Cheryl A. Sanders

Signature

Date

December 19, 2001

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JAN 30 2002

**FEET TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$130.00

Complete if Known

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FEB 07 2002

Technology Center 2100

Application Number	09/783,161
Filing Date	February 14, 2001
First Named Inventor	George B. Brunt
Examiner Name	
Group Art Unit	2131
Attorney Docket No.	10.080.001 (CDUS.0002)

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	
Deposit Account Name	

Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

Applicant claims small entity status. See 37 CFR § 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	740	201 370 Utility filing fee	
106	330	206 165 Design filing fee	
107	510	207 255 Plant filing fee	
108	740	208 370 Reissue filing fee	
114	160	214 80 Provisional filing fee	
SUBTOTAL (1)			

2. EXTRA CLAIM FEES

Extra Claims	Fee from below	Fee Paid
Total Claims	-20** = 0 X 0 = 0.00	
Independent Claims	- 3** = 0 X 0 = 0.00	
Multiple Dependent		

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	84	202 42 Independent claims in excess of 3
104	280	204 140 Multiple dependent claim, if not paid
109	84	209 42 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		\$0.00

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
139	130	139 130 Non - English specification	
147	2,520	147 2,520 For filing a request for ex parte reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for reply within first month	
116	400	216 200 Extension for reply within second month	
117	920	217 460 Extension for reply within third month	
118	1,440	218 720 Extension for reply within fourth month	
128	1,960	228 980 Extension for reply within fifth month	
119	320	219 160 Notice of Appeal	
120	320	220 160 Filing a brief in support of an appeal	
121	280	221 140 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive - unavoidable	
141	1,280	241 640 Petition to revive - unintentional	
142	1,280	242 640 Utility issue fee (or reissue)	
143	460	243 230 Design issue fee	
144	620	244 310 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Processing fee under 37 CFR § 1.17(q)	
126	180	126 180 Submission of Information Disclosure Statement	
581	40	581 40 Recording each patent assignment per property (times number of properties)	
146	740	246 370 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249 370 For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279 370 Request for Continued Examination (RCE)	
169	900	169 900 Request for expedited examination of a design application	
Other fee (specify) 37 CFR 1.17(i) Processing Fee			130.00

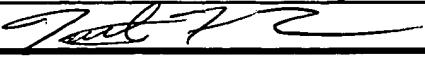
*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$130.00

SUBMITTED BY

Complete (if applicable)

Name	Timothy F. Loomis	Registration No. (Attorney/Agent)	37,383	Telephone	(972) 747-0706
Signature			Date	December 19, 2001	

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